

Receipt

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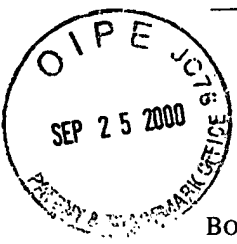
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September 18, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attention:** Office of Initial Patent Examination  
Customer Service Center

Re: U.S. Continuation Patent Application Serial No. 09/439,740  
Title: *Recombinant Transferrins, Transferrin  
Half-Molecules and Mutants Thereof*  
Filed: November 15, 1999  
Inventors: Walter D. Funk, *et al.*  
Our Reference: UVI-005CP2CN

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Request for Correction of Filing Receipt;
2. A copy of the Filing Receipt (with changes noted thereon); and
3. A return postcard.

Please charge any deficiencies associated with this communication to our Deposit  
Account No. 12-0080.

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I hereby certify that this correspondence is deposited with the  
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Washington, DC 20231 on:

September 18, 2000  
Date

Debra J. Milasincic Reg. No. P46,931

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Debra J. Milisincic  
Reg. No. P46,931

DJM/js  
Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Walter D. Funk, et al.

Serial No.: 09/439,740

Filed: November 15, 1999

For: Recombinant Transferrins, Transferrin  
Half-Molecules and Mutants Thereof

Attorney Docket No.: UVI-005CP2CN

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Group Art Unit: 1636

**OCT 13 2000**

Examiner: Not yet Assigned

**TECH CENTER 1600/2900**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attention:** Office of Initial Patent Examination  
Customer Service Center

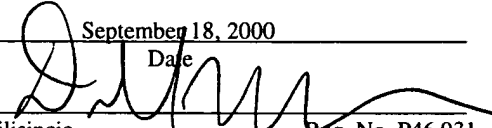
**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

The Filing Receipt for the above-identified patent application incorrectly lists the total independent claims. **The correct total independent claims should be 7.**

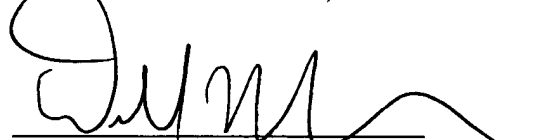
The Filing Receipt also incorrectly lists the city and state of Applicant, Walter D. Funk. **The correct city and state of Mr. Funk should be Union City, CO.**

Applicants request that the Filing Receipt be corrected. A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

<b>Certificate of First Class Mailing (37 CFR 1.8(a))</b>	
I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center Washington, DC 20231 on:	
	September 18, 2000
	Date
Debra J. Milisincic	Reg. No. P46,931

Respectfully submitted,

**LAHIVE & COCKFIELD, LLP**



Debra J. Milisincic  
Reg. No. P46,931

DJM/js  
Enclosure

## FILING RECEIPT



\*OC00000005126047\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND. CLAIMS
09/439,740	11/15/1999	1636	694	UVI-005CP2CN	8	26	8

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OCT 13 2000

TECH CENTER 1600/2900

Date Mailed: 05/18/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/175,158 12/28/1993 PAT 5,986,067  
WHICH IS A CIP OF 07/832,029 02/06/1992 ABN  
WHICH IS A CIP OF 07/652,869 02/08/1991 ABN

## Foreign Applications

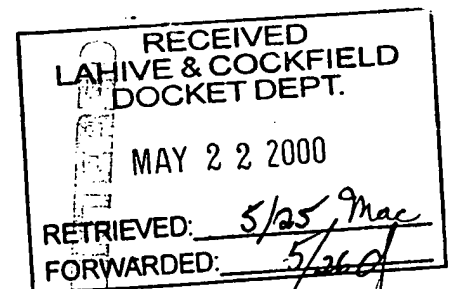
\*\* SMALL ENTITY \*\*

## Title

RECOMBINANT TRANSFERRINS, TRANSFERRIN HALF-MOLECULES AND MUTANTS  
THEREOF

## Preliminary Class

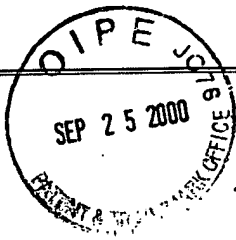
435



Data entry by : JOHNSON, ANGELA

Team : OIPE

Date: 05/18/2000



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

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